

Strategic Risk Register

No	Risk Title	Risk Type	Consequences	Date Identified	Current Mitigation	Likelihood score	Impact score	Overall risk score	Desired risk score	Actions required	Links to Corporate Objectives / Strategies	Risk Owner	Review Date
1	Safeguarding and PREVENT	R, S	Significant reputational impact should a child, young person or adult come to harm and TMBC are unable to demonstrate appropriate processes were in place.	01/04/2017	The responsibility for safeguarding has recently moved to the Chief Executive, rather than an individual service and a review implemented. An Audit review was commissioned which identified progress to date. Positive direction of travel noted in majority of areas (policy, training, engagement with other agencies). Areas of weakness identified and an action plan is being developed to address areas/necessary actions with specific reference to review of posts eligible for DBS checks being reviewed by Legal Services and a Central recording system being commissioned. Corporate Safeguarding Policy, DBS checking Staffing/Member training. Attendance at Local Children's Partnership Group. Training delivered to all Hackney Carriage and Private Hire drivers.	4	4	16				Julie Beilby	
2	Financial position/budget deficit	F, R	Failure to deliver a balanced budget, detrimental impact on quality of service, increased intervention. Failure to maximise New Homes Bonus.	01/04/2017	Medium Term Financial Strategy (MTFS) in place and reviewed regularly. Annual review of Treasury Management and Investments strategies. Effective budget setting process and financial monitoring in place; Robustness tested and adequacy of reserves. External Audit review MTFS Savings & Transformation Strategy External audit of Accounts Financial Procedure Rules. Monitor taxbase.	4	3	12	9	Areas of potential savings to be formally identified and prioritised, with commitment to delivery of these selected	Vision- to be a financially sustainable Council. Taking a business like approach	Sharon Shelton	Nov-17
3	Brexit Impact and Economic Stability	F	Financial impact and effect on the economy as well as uncertainty around current EU legislation, i.e. what replaces it, could have a significant financial impact and lead to legislative changes impacting on finance and resources.	01/04/2017	Regular review of MTFS. Kent-wide working to understand, plan for and react to pressures. Regular review of Treasury Management and Investment strategies. Economic factors reflected in MTFS	4	3	12	12			Sharon Shelton	
4	Corporate Strategy and Savings and Transformation Strategy	F, R, S	Failure to meet objectives and/or make savings, including those arising from the planned West Kent Waste Partnership. Impact on quality of service, budget overspends, salami slicing, etc. staff motivation impacted and increased risk of fraud or error.	01/04/2017	Savings and Transformation Strategy reviewed and updated. Corporate Strategy reviewed and updated. Regular update reports to MT and Members Annual review of Savings & Transformation Strategy	3	4	12	9	Areas of potential savings to be formally identified and prioritised, with commitment to delivery of those selected. Commissioning of in service reviews via MT to identify potential areas of transformation and savings	Vision- to be a financially sustainable Council focusing on ensuring good value for money, continuously reviewing how our services are provided and funded, focusing our available resources where they will have most beneficial impact, and maximising commercial opportunities. Taking a business like approach.	Julie Beilby / Sharon Shelton	Nov-17
6	Local Plan	F, R	Lack of sound legal footing for Plan leading to risk of failure at Examination. Risk of challenge from not meeting identified development needs. Reputational risk and widespread public concern arising from decision making on strategic development. Lack of infrastructure to support future development.	01/04/2017	Audit of Local Plan process in hand. Update and review of evidence base underway in advance of Regulation 19 consultation. Specialist consultants engaged where appropriate and counsel briefed. Duty to Cooperate discussions and audit in hand. Clear explanation of local plan process and requirement to Members and through consultation with communities. Liaison with service and infrastructure providers.	4	3	12		Further refinement of evidence and narrowing down of sites to address development needs. Liaison with adjoining authorities and other agencies. Clear communications strategy to enable public understanding of proposals.	Local Plan assists in economic growth, delivering the supply of future housing and addressing affordability. Procedures set by National Government	Steve Humphrey	
7	Organisational development inc staff recruitment and retention/skills mix	F, R, S	Lack of resources or the right skills to deliver required outcomes, reduced staff morale and quality of work, leading to financial loss, reputational damage and detrimental impact on staff wellbeing.	01/04/2017	Review of staff resources and skills via service reviews. Organisational structure review as part of S&TS to achieve efficiency, coordinated service delivery and reflect changing legislative and policy requirements and priorities. Review of training plan	4	4	16				Julie Beilby	
8	Health and Safety	F, R, S	Significant reputational impact should a service user, officer, member or contractor come to harm and TMBC are unable to demonstrate appropriate processes were in place (could be merged with safeguarding although arguably a different thing).	01/04/2017	Health and Safety Policy review. Lone working policy and service based practices to be continuously monitored.	3	4	12		Further dissemination of good practice through staff briefing.	Staff wellbeing and customer care underpin the Council's fundamental service and corporate objectives	Steve Humphrey	

