

Annex 2-

Highest Risks (all medium)- as at April 2023

No	Risk Title	Consequences	Overall inherent risk score	Mitigating actions to achieve desired risk score
1	Change in political administration/direction	Delay or revisiting key aspect of the local plan	12	Working with all members to gain understanding and awareness of the local plan and the process. Member briefings ahead of the March 3 HPSSC
2	Project management-timetable for local plan document, evidence and supporting documentation slips	Delay to the local plan consultation	12	Regular project management meetings between PPM and PPOs; regular updates of timetable
3	Staffing- further changes in staff numbers or loss of hour, unexpected absences	Delay to timetable, health and wellbeing implications for team	12	Regular team meetings, 1:1s, effective file management and installation of a 'buddy' system, risk management escalation
4	DTC issues	Failure to demonstrate DTC at examination or other issues raised prior to in consultations; issues with neighbouring boroughs	12	Developing a robust PM system, new DTC grid and legal compliance toolkit at an early stage
5	Consultation database, GDPR and privacy notice issues	Legal failure	12	Liaising with legal, keeping them informed of current process, setting dates/timeframes for consultation database review/refresh
6	Lack of design/conservation support	Lack of dedicated internal staff offering this support could lead to matters being missed in local plan, design code or decision-making compromised	12	Continual review of and flagging of matters relating to conservation and design- review of the design code work programme and resource requirements

7	Lower Thames Crossing	Stepping outside alignment with the KCC position either existing or new administration	12	Raising awareness across the organisation and regular briefings on the LTC situation
8	HRA, AQ Evidence- Evidence	Delay to the local plan production and consultation process	12	Project management approach, regular evidence base check ins, ensuring consultants and internal deadlines are met
9	SA	Delay to the local plan production and consultation process	12	Project management approach, regular evidence base check ins, ensuring consultants and internal deadlines are met
10	EDNS - Evidence	Delay to the local plan production and consultation process; lack of consultant support, failure to deliver the study to time	12	Project management approach, regular evidence base check ins, ensuring consultants and internal deadlines are met
11	SFRA, L1 and L2- - Evidence	Delay to the local plan production and consultation process; Not PPG compliant as data is not available; not yet known the number of sites to be assessed which could extend cost or length or work programme. With impacts on other workstreams.	12	Project management approach, regular evidence base check ins, ensuring consultants and internal deadlines are met
12	HCA- Evidence delay	Delay to the local plan production and consultation process	12	Project management approach, regular evidence base check ins, ensuring consultants and internal deadlines are met
13	Spatial Strategy	Delay to the local plan production and consultation process	12	Project management approach, regular evidence base check ins, ensuring consultants and internal deadlines are met
14	Transport Modelling	Delay to the local plan production and consultation process	12	Project management approach, regular evidence base check ins, ensuring consultants and internal deadlines are met
15	NPPF reforms delayed or content differing from consultation approach	Delay to the local plan content requirements, timeline and consultation process	12	Risk escalation process, awareness raising. Maintaining oversight of government products and timetables.

'High' Issues- as at April 2023

No	Risk Title	Issue Detail	Impact and Implication	Severity	Issue Mitigation
1	Change in political administration/direction, including in relation to housing numbers	Change in political direction or Members decide to await further clarification on planning reforms in particular in relation to housing numbers	Change in content, timing or emphasis within local plan	High	Working will all members to gain understanding and awareness of the local plan and the process. Member briefings ahead of the June 7 HPSSC. Continue to liaise with members and keep them informed of local plan progress and need for a plan to be in place. Member briefings on implications of NPPF consultation implications. Utilising risk and issues management template for each senior staff or member engagement process- escalation of risks
2	Currently proposed planning reforms	Current NPPF proposed reforms scrapped or new taken forward;	Need to review work programme in light of changes; potential to not meet the transitional deadlines, financial loss, reputational loss	High	Continue to liaise with members to keep them informed of any changes and timescales for reform Utilising risk and issues management template for each senior staff or member engagement process- escalation of risks
3	NDMP content and scope	Content of NDMP requiring a review of work to date	Delay to timetable and alignment with new approach; potential not to meet the transitional deadline, reputational and financial loss	High	Continue to liaise with members to keep them informed of any changes and timescales for reform Utilising risk and issues management template for each senior staff or member engagement process- escalation of risks
4	Legal advice, lack of	Delay, absence of lack of legal advice on key issues; lack of contracts in place for	Lack of legal process and compliance and ultimately failure of local plan	High	Continue to liaise and chase responses; initiate discussions about support; discuss with legal re options; send out priorities Utilising risk and issues management template for each

		critical pieces of evidence			senior staff or member engagement process- escalation of risks
5	Monitoring systems	Failure to have up to date monitoring inputs	5 year housing land supply and other data not being available should an appeal be lodged; input of quality data into new studies	High	working with IT on options, identifying a budget to take forward as a separate project Utilising risk and issues management template for each senior staff or member engagement process- escalation of risks